



## Assessment of environmental, social and human rights (ESHR) impacts - General questionnaire

The assessment of environmental, social, and human rights impacts is crucial in determining whether an export transaction is eligible for cover. Projects are therefore assessed according to the [OECD Common Approaches](#).

The questionnaire below is part of the environmental, social and human rights (ESHR) assessment and will help to classify your project according to the OECD Common Approaches. It will also help to assess compliance with the [IFC's Performance Standards](#) and the [General Environmental, Health and Safety \(EHS\) Guidelines](#). Depending on the specifics of your project, additional sector-specific guidelines may apply and will be addressed in a supplementary questionnaire, if required.

This questionnaire covers the entire project and its location, not just the German supplies and services. Please complete it thoroughly and in detail as this information is essential for our evaluation.

Your cooperation is invaluable and will ensure that we can provide you the best support and advice. For more information and sector-specific questionnaires, please visit our [website](#).

<b>Project name:</b>	
<b>Name, position and company of the person who answered the questionnaire: Enter several names if necessary</b>	
<b>Date:</b>	

### A. Description of the project

<b>1.</b>	Please describe the <b>scope of the German supplies and services</b> :
<b>2.</b>	Please describe the <b>role of the German service provider</b> (e.g. general contractor, supplier):



The following questions and any additional questionnaires, which may be required, refer to the **project as a whole**. The questions should always be answered in this context and not only in respect of the German supplies and services. If you have any questions regarding the definition and delimitation of the project, please do not hesitate to contact us at an early stage.

3.	Please describe the <b>project</b> . Please note that in the context of the assessment of environmental, social and human rights aspects, <b>the project denotes the project as a whole</b> , for which the German supplies and services listed under 1 are intended. Any components to be built or expanded, which are physically and technically linked to the project and will be owned, operated, or managed by the buyer and/or project sponsor (incl. any contractors), are deemed to be a part of the project.	
a)	<b>Project description</b> (objective and scope):	
b)	<b>Upstream and downstream production facilities and processes</b> with key processing steps:	
c)	<b>Infrastructure</b> (such as roads, pipelines, energy supply) that is built or expanded in connection with the project, both on and off the project site:	
d)	<b>The project is a</b> <i>Please select all that apply.</i>	
<b>New construction</b>		<input type="checkbox"/>
<b>Expansion investment</b>		<input type="checkbox"/>
<b>Modernization investment</b>		<input type="checkbox"/>
<b>And</b>		
<b>Integrated</b> into an (existing) plant or		<input type="checkbox"/>
<b>Stand-alone plant</b>		<input type="checkbox"/>
Comment (optional):		

4.	Please indicate the <b>annual production capacity</b> at the site. Specify the product(s) for which production is to be expanded as part of the planned project.	
a)	Existing production capacities before realization of the project (if applicable)	
b)	Total production capacity after realization of the project:	
Comment (optional):		

5.	Where are <b>the main raw materials</b> for the project sourced from (own activities, market purchase)?

6.	What <b>necessary associated facilities</b> (ancillary facilities) are constructed or expanded that are not part of the project but <b>would not be constructed or expanded without the project</b> and on which the <b>feasibility of the project depends</b> , e.g. power plants, terminals, ports, quarries, plantations? Such facilities may be financed, owned, managed, constructed or operated by the purchaser and/or sponsor as well as by independent third parties).

7.	Which other <b>export credit agencies or international financial institutions</b> are involved in the project?


8.	Please describe specific <b>positive aspects</b> of the project and the German supplies and services (e.g. greenhouse gas savings, improved energy efficiency):

## B. Location description

9.	Please enter coordinates or a link from Google Maps for the location of the project. Suitable formats for coordinates are: <ul style="list-style-type: none"> <li>- Decimal degree (DG): e.g. 41.40338, 2.17403</li> <li>- Degrees, minutes and seconds (GMS): e.g. 41°24'12.2 "N 2°10'26.5 "E</li> <li>- Degrees and decimal minutes (GMM): e.g. 41 24.2028, 2 10.4418</li> </ul>

10.	Please describe the entire <b>site</b> and the existing/planned associated facilities:	
a)	Size and land requirements (in ha)	
b)	Previous and current use and ownership structure (in case of change of ownership: explanation of whether expropriation with/without compensation or mutual agreement; in case of agricultural use: proportion of total area in ha and %)	
c)	Industrial area (existing since when?) or new development	
Comment (optional):		

11.	Please describe the <b>surroundings of</b> the site:	
a)	Use of the adjacent land (e.g. agriculture, industry, mining, forest, green space, etc.)	
b)	Distance to the nearest residential area/civilian facility (e.g. hospital, educational institution) in km	
Comment (optional):		

<b>12.</b>	<p>Is the site in or near a <b>sensitive area</b>?</p> <p><i>Definition: "Sensitive areas" include National Parks and other protected areas identified by national or international law, and other sensitive locations of international, national or regional importance, such as wetlands, forests with high biodiversity value, areas of archaeological or cultural significance, and areas of importance for indigenous peoples or other vulnerable groups.</i></p>
Yes (please elaborate below)	<input type="checkbox"/>
No	<input type="checkbox"/>
<p> <b>If yes</b>, please provide additional information (type of area, distance to the site, protection category, protection function, species occurrence, protection measures, etc.):</p>	

## C. Environmental, social and human rights standards

<b>13.</b>	<p>Which of the following <b>documents</b> will be/have been prepared and are available for the project?</p> <p><i>Please select all that apply and indicate the (planned) creation date in the column on the right.</i></p>		
Type of study/assessment	Required by law	Prepared in accordance with international standards (please specify below)	Please insert (planned) creation date (MM/YYYY)
Environmental Impact Assessment (EIA)	<input type="checkbox"/>	<input type="checkbox"/>	
Environmental and Social Impact Assessment (ESIA)	<input type="checkbox"/>	<input type="checkbox"/>	
Environmental and Social Due Diligence (ESDD) incl. Environmental and Social Action Plan (ESAP)	<input type="checkbox"/>	<input type="checkbox"/>	
Human Rights Due Diligence (HRDD)	<input type="checkbox"/>	<input type="checkbox"/>	
Climate Change Risk Assessment (CCRA)	<input type="checkbox"/>	<input type="checkbox"/>	
Other studies/documents (please elaborate on the right)	<input type="checkbox"/>	<input type="checkbox"/>	<p><b>Please elaborate:</b></p> <p><b>(Planned) creation date (MM/YYYY):</b></p>
Comment (optional):			

<b>14. Which standards and legal requirements does the project meet?</b> <i>Please select all that apply.</i>	
World Bank Environmental and Social Standards	<input type="checkbox"/>
General and sector-specific guidelines of the World Bank Group (Environmental, Health, and Safety - EHS Guidelines)	<input type="checkbox"/>
IFC Performance Standards	<input type="checkbox"/>
Local applicable law: environment	<input type="checkbox"/>
Local applicable law: occupational health and safety	<input type="checkbox"/>
Local applicable law: labour rights	<input type="checkbox"/>
Local applicable law: product safety	<input type="checkbox"/>
EU and German standards <u>related to German supplies</u>	<input type="checkbox"/>
Other standards, e.g. industry best practice. <i>Please specify.</i>	
Comment (optional):	


<b>15.</b>	<b>Please name and elaborate any pending and ongoing complaints, legal proceedings, fines and official decrees relating to the project.</b>


## D. Environmental risks and impacts

<b>16.</b>	<b>What significant environmental impacts have been identified?</b> <i>Please select all that apply and consider both the construction and operational phases.</i>	
	<b>Construction phase</b>	<b>Operational phase</b>
Effects on biodiversity	<input type="checkbox"/>	<input type="checkbox"/>
Water supply or quality (e.g. in relation to humans, plants, animals, farms)	<input type="checkbox"/>	<input type="checkbox"/>
Contaminated wastewater streams	<input type="checkbox"/>	<input type="checkbox"/>
Use of hazardous substances	<input type="checkbox"/>	<input type="checkbox"/>
Hazardous waste	<input type="checkbox"/>	<input type="checkbox"/>
Greenhouse gas emissions	<input type="checkbox"/>	<input type="checkbox"/>


Air emissions	<input type="checkbox"/>	<input type="checkbox"/>
Ambient noise	<input type="checkbox"/>	<input type="checkbox"/>
Other (please elaborate)	<input type="checkbox"/>	<input type="checkbox"/>
a)	Please elaborate how <b>production and construction processes</b> are associated with environmental impacts. <i>Please elaborate and distinguish between construction and operational phase.</i>	
b)	Please elaborate what <b>measures</b> are planned to mitigate the environmental impacts. <i>Please elaborate and distinguish between construction and operational phase.</i>	

17.	Which <b>main resources</b> (e.g. water, energy, raw materials) and supply <b>sources</b> (e.g. public grid/market, own production) are used in the <b>operational</b> phase?


18.	How is the <b>electric power supply</b> ensured at the site in the operational phase? <i>Please do not consider emergency power supply. Please select all that apply.</i>
Local <b>grid</b> operator	<input type="checkbox"/>
<b>Existing</b> on-site electric power plant	<input type="checkbox"/>
<b>New</b> or <b>extended</b> on-site electric power plant (as part of the project's expansion or development) <i>Please elaborate below</i>	<input type="checkbox"/>
 <b>If the project involves a new/extended electric power plant on site</b> , please specify <ol style="list-style-type: none"> <li>input and output power</li> <li>what the energy is generated from, e.g. coal, natural gas, solar, combination</li> </ol>	


19.	How is the <b>thermal energy supply</b> ensured at the site in the operational phase? <i>Please select all that apply.</i>	
Local <b>grid</b> operator.	<input type="checkbox"/>	
<b>Existing</b> on-site thermal energy generation plant.	<input type="checkbox"/>	
<b>New</b> or <b>extended</b> on-site thermal energy plant (as part of the project's expansion or development). <i>Please elaborate below.</i>	<input type="checkbox"/>	
 <b>If the project involves a new/extended thermal energy plant on-site, please specify</b> 1. input and output power 2. what the energy is generated from, e.g. coal, natural gas, solar, combination		


20.	What specific measures are planned to minimize <b>energy consumption during operation</b> ?

21.	Is the <b>disposal of non-hazardous waste</b> carried out by <b>licensed</b> waste disposal companies? <i>Please consider both the construction and operational phase.</i>	
	<b>Construction phase</b>	<b>Operational phase</b>
Yes	<input type="checkbox"/>	<input type="checkbox"/>
No ( <i>please elaborate below</i> )	<input type="checkbox"/>	<input type="checkbox"/>
 <b>If not, please elaborate:</b>		



22.	Is the <b>disposal of hazardous waste</b> carried out by <b>licensed</b> waste disposal companies? <i>Please consider both the construction and operational phase.</i>	
	<b>Construction phase</b>	<b>Operational phase</b>
Yes	<input type="checkbox"/>	<input type="checkbox"/>
No ( <i>please elaborate below</i> )	<input type="checkbox"/>	<input type="checkbox"/>
 <b>If not, please elaborate:</b>		

23.	Is <b>water supplied by the municipal utility</b> ? <i>Please consider both the construction and operational phase.</i>	
	<b>Construction phase</b>	<b>Operational phase</b>
Yes	<input type="checkbox"/>	<input type="checkbox"/>
No ( <i>please elaborate below</i> )	<input type="checkbox"/>	<input type="checkbox"/>
 <b>If not, please elaborate:</b>		

24.	Is the <b>wastewater discharged into the municipal sewage treatment</b> plant system? <i>Please consider both the construction and operational phase.</i>	
	<b>Construction phase</b>	<b>Operational phase</b>
Yes	<input type="checkbox"/>	<input type="checkbox"/>
No ( <i>please elaborate below</i> )	<input type="checkbox"/>	<input type="checkbox"/>
 <b>If not, please elaborate where wastewater is discharged to/how it is treated:</b>		


25.	Which of the following elements does the site's <b>environmental management system</b> have from the construction phase onwards? <i>Please select all that apply.</i>	
Analysis of environmental risks and impacts	<input type="checkbox"/>	
Project-specific policy that defines at least concrete objectives, principles, standards and laws to be complied with	<input type="checkbox"/>	
Planning and implementation of mitigation measures	<input type="checkbox"/>	
Instruction and training for employees	<input type="checkbox"/>	

Regular review and corrective measures	<input type="checkbox"/>
Defined responsibilities, roles and authorizations	<input type="checkbox"/>
All contractors are contractually obliged to comply with the overarching environmental management system	<input type="checkbox"/>
Other ( <i>please elaborate</i> )	
Comment (optional):	

<b>26.</b> Is an <b>ISO 14001 (Environmental management systems)</b> certification planned or already in place for the site? <i>Please enter further details in the column on the right.</i>		
Certification in place	<input type="checkbox"/>	<b>Please indicate the period of validity of the certificate (MM/YYYY):</b>
Certification is planned	<input type="checkbox"/>	<b>Please indicate when certification is sought (MM/YYYY):</b>
Other environmental certifications, e.g. energy management is planned or in place	<input type="checkbox"/>	<b>Please elaborate:</b>
No (no certification, not planned)	<input type="checkbox"/>	
Comment (optional):		

## E. GHG emissions

<b>27.</b> Please state the <b>total investment volume</b> of the project ( <b>as an overall project, see question 3</b> ) in euros. In the case of an expansion investment or replacement investment/modernization of an existing site, please state the <b>associated total investment volume</b> in euros. Please also note the connection to the emissions data in the following question 28, which serves to calculate the proportionate emissions associated with the order value.		
<b>Type of investment</b>		<b>Total investment volume in EUR</b>
a)	New installation	
b)	Expansion	
c)	Replacement/modernization	
<b>28.</b> Please state the <b>expected annual greenhouse gas (GHG) emissions</b> (t CO <sub>2</sub> e / a) and the <b>annual energy consumption</b> that are associated with the whole project/in connection		

	with the expansion investment (see question 27) <sup>1</sup> . GHG emissions should be calculated in accordance with internationally recognized methods. <sup>2</sup> If the GHG emissions do not refer to the total investment volume stated under question 27, <b>please elaborate</b> the reference in the comments <b>below</b> .	
	<b>Type of emission</b>	<b>Emission value (t CO<sub>2</sub> e / a)</b>
a)	<b>Direct GHG emissions</b> (Scope 1) in tons of CO <sub>2</sub> equivalent per year	
b)	<b>Indirect energy related GHG emissions</b> (Scope 2) in tons of CO <sub>2</sub> equivalent per year	
c)	<b>Indirect GHG emissions</b> (Scope 3) within the <b>upstream</b> value chain (upstream) in tons of CO <sub>2</sub> equivalent per year (if available)	
d)	<b>Indirect GHG emissions</b> (Scope 3) within the <b>downstream</b> value chain in tons of CO <sub>2</sub> equivalent per year (if available)	
e)	<b>Process emissions</b> <sup>3</sup> in tons of CO <sub>2</sub> equivalent per year	
 <b>Comments (if the emission values do not relate to the total investment volume):</b>		

<b>29.</b>	Please indicate the <b>expected annual energy consumption</b> associated with the project (as an overall project, see question 27). If your information does not relate to the total investment volume stated under question 27, please elaborate the reference explicitly in the comments.		
	<b>Energy consumption in GWh by energy source and energy type per year</b>	<b>Electricity (GWh /a)</b>	<b>Heat (GWh /a)</b>
a)	Biomass		
b)	Lignite		
c)	Natural gas		
d)	Renewable		
e)	Nuclear		
f)	Coal		
g)	Unknown/network reference		

<sup>1</sup> The greenhouse gases that are subject to the United Nations Framework Convention on Climate Change are relevant: carbon dioxide (CO<sub>2</sub>), methane (CH<sub>4</sub>), nitrous oxide (N<sub>2</sub>O), hydrofluorocarbons (HFCs), perfluorocarbons (PFCs), sulphur hexafluoride (SF<sub>6</sub>), nitrogen trifluoride (NF<sub>3</sub>).

<sup>2</sup> The [Guidance Notes of the IFC Performance Standards](#) (p. 92-96) provide guidance on quantifying GHG emissions. The Greenhouse Gas Protocol provides detailed guidelines for calculating emissions: [Corporate Standard | GHG Protocol](#).

<sup>3</sup> Process emissions refer to greenhouse gas emissions that are not caused by the combustion of fossil fuels but are released through the processing of raw materials (e.g. in cement and steel production). An overview of relevant sectors and activities can be found in Appendix D of the Greenhouse Gas Protocol: [Corporate Standard | GHG Protocol](#).



Comments (if the energy consumption does not relate to the total investment volume):


## F. Working standards and occupational health and safety

30.	<p>Please provide information on the <b>number of workers required for the project</b>, broken down by employment type and project phase.  <i>Please also indicate the percentage of expected migrant workers. Please consider both the construction and operational phase.</i></p>		
	<b>Type of worker</b>	<b>Number of workers in construction phase</b>	<b>Number of workers in operation phase</b>
a)	Employed by the project/buyer		
	of which migrant workers (in %)		
b)	Employed by (sub)contractors		
	of which migrant workers (in %)		
c)	Day labourers/temporary workers without a permanent employment contract		
	of which migrant workers (in %)		

31.	<p>Are <b>all important companies that are involved in the project from the construction phase onwards committed to complying with national labour laws</b> in the buyer country and to <b>respecting fundamental human rights</b>?</p> <p><i>Respect for fundamental human rights includes the provision of a safe and healthy working environment, the granting of freedom of association, the recognition of the right to collective bargaining, the prevention of discrimination, no child and forced labour, the promotion of equal opportunities, the granting of rest, leisure and paid leave as well as fair remuneration.</i></p>	
Yes (please elaborate)	<input type="checkbox"/>	
No	<input type="checkbox"/>	

<p> <b>If yes, how is the commitment made?</b>  <i>Please select all that apply.</i></p>	
Contractual agreements are in place	<input type="checkbox"/>
A Code of Conduct is in place	<input type="checkbox"/>
Other (please elaborate)	
Comment (optional):	

32.	Which of the following elements does the site's <b>occupational health and safety management system</b> have from the construction phase onwards? <i>Please select all that apply.</i>	
Workplace-related hazard assessments and risk assessments	<input type="checkbox"/>	
Project-specific policy containing concrete objectives, applicable standards and laws	<input type="checkbox"/>	
Workers receive adequate Personal Protective Equipment (PPE) free of charge	<input type="checkbox"/>	
Planning and implementation of preventive and mitigation measures	<input type="checkbox"/>	
Instructions and trainings for employees	<input type="checkbox"/>	
Regular reviews of the implementation of prevention and remedial measures	<input type="checkbox"/>	
Defined responsibilities, roles and authorizations	<input type="checkbox"/>	
All contractors are contractually obliged to comply with the overarching occupational health and safety management system	<input type="checkbox"/>	
Other ( <i>please elaborate</i> )		
Comment (optional):		

33.	Is an <b>ISO 45001 (Occupational health and safety management systems)</b> certification planned or already in place for the site? <i>Please provide further information in the column on the right.</i>	
Certification in place	<input type="checkbox"/>	Please indicate the period of validity of the certificate (MM/YYYY):
Certification of the site is planned	<input type="checkbox"/>	Please indicate when certification is sought (MM/YYYY):
Other health and safety related certifications, e.g., SA8000	<input type="checkbox"/>	Please elaborate:
No (no certification, not planned)	<input type="checkbox"/>	
Comment (optional):		

<b>34.</b>	Please name the <b>main occupational safety risks associated with the project</b> . <b>Please differentiate between the construction and operational phases.</b>


<b>35.</b>	Please describe <b>which measures</b> are used to mitigate the main project-related occupational safety risks. <b>Please differentiate between the construction and operational phases.</b>

<b>36.</b>	What measures are implemented to monitor and enforce <b>occupational health and safety standards at (sub)contractors</b> from the construction phase onwards? <i>Please select all that apply.</i>	
	Contractual agreements with the contractor	<input type="checkbox"/>
	Regular checks or internal audits	<input type="checkbox"/>
	Regular external audits, commissioned by the project	<input type="checkbox"/>
	Instruction of the employees deployed at the site	<input type="checkbox"/>
	Other ( <i>please elaborate</i> )	
Comment (optional):		

<b>37.</b>	Please describe the <b>most serious occupational accident</b> that has occurred at the project <b>or other sites of the buyer</b> in the last 3 years and <b>mitigation measures</b> taken as a result.

<b>38.</b>	What procedures are implemented by the project to exclude <b>child and forced labour</b> during the construction and operational phases?

<b>39.</b>	Which of the following criteria <b>regarding working conditions</b> apply from the construction phase onwards? <i>Please select all that apply.</i>	
Employees at the site have the opportunity to <b>organize themselves and collectively negotiate their working conditions.</b>	<input type="checkbox"/>	
The working conditions for employees at the site, such as <b>working hours, breaks, vacation days, termination, wage and salary deductions</b> , are transparently regulated in written contracts with all employees in a language they understand.	<input type="checkbox"/>	
There are guidelines for employees at the site that promote <b>equal treatment and equal opportunities.</b>	<input type="checkbox"/>	
<b>Wages and salaries</b> paid to employees at the site are at least <b>in line with industry standards</b> and at least meet local requirements.	<input type="checkbox"/>	
Other ( <i>please elaborate</i> )		
Comment (optional):		

<b>40.</b>	Is it ensured that <b>migrant workers</b> and <b>day labourers</b> are employed under <b>equivalent conditions</b> to regular employees performing similar work in key aspects from the construction phase onwards?	
Yes	<input type="checkbox"/>	
No ( <i>please explain</i> )	<input type="checkbox"/>	
Not applicable (no migrant workers or day labourers employed at the site)	<input type="checkbox"/>	
 <b>If not (migrant workers and day labourers are not employed under equivalent conditions), please explain.</b>		

41.	Please describe how and by whom <b>compliance with the working conditions is monitored</b> from the construction phase onwards.

42.	Does the project provide means (e.g. telephone hotline, e-mail box, post box or ombudsman) for <b>employees</b> , including <b>employees of contractors working on site</b> , to submit <b>complaints</b> in connection with the project from the construction phase onwards?	
Yes	<input type="checkbox"/>	
No	<input type="checkbox"/>	
a)	Are anonymous complaints accepted?	
Yes	<input type="checkbox"/>	
No	<input type="checkbox"/>	
b)	Is it ensured that complaints are answered and processed appropriately?	
Yes	<input type="checkbox"/>	
No	<input type="checkbox"/>	
c)	<b>Please describe</b> what means of complaint are available and how these are communicated.	
d)	<b>Please describe</b> how complaints are dealt with. Please also address time aspects, documentation and responsibilities.	

43.	Please provide information on <b>accommodation</b> provided for the workers involved in the project during the construction and operational phases. <i>Please select all that apply and give further details.</i>	
Accommodation is provided in the construction phase	<input type="checkbox"/>	
Accommodation is temporarily provided in the operational phase	<input type="checkbox"/>	
Accommodation is permanently provided in the operational phase	<input type="checkbox"/>	
All accommodation provided meets the requirements of the IFC/EBRD Guidance Note <u>Workers' Accommodation: Process and Standards</u> ( <a href="http://ifc.org">ifc.org</a> ) <i>The requirements of the Guidance Note aim to ensure the health, safety and well-being of workers during their accommodation</i>	<input type="checkbox"/>	



No accommodation is provided

☐


**Further information:** Please indicate how many workers will be housed in accommodation (if applicable).  
*Please distinguish between construction and operational phase.*


## G. Supply chain management

44.	What measures are taken to ensure <b>compliance with basic environmental, social and human rights standards by contractors and primary suppliers<sup>4</sup></b> along the supply chain? <i>Please select all that apply.</i>		
	<b>Contractors working at the site</b>	<b>Primary suppliers along the supply chain</b>	<b>First-tier suppliers</b>
Selection process includes environmental, social and human rights aspects	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Commitment to a Code of Conduct	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Contractual agreement of social, environmental and human rights aspects, including working standards	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Conduct audits	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Obtain self-disclosures /self-assessments	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Training on relevant social, environmental and human rights aspects	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other ( <i>please elaborate</i> )			
Comment (optional):			

<sup>4</sup> "Primary supplier" refers to a supplier who forms part of the supply chain of goods and/or materials essential for the core business processes of the project, during the pre-construction, construction and/or operations phases. A primary supplier may supply its goods or materials directly to the project (a Tier I primary supplier), to the Tier I primary supplier (a Tier II primary supplier) or may be at a deeper tier of the primary supply chain.

## H. Risks and effects on the local population

<b>45.</b>	Has the <b>affected population</b> been <b>involved</b> or is this still to happen? <i>Please select all that apply and give further details.</i>		
	<b>Yes</b>	<b>Planned</b>	<b>No action</b>
The affected population was <b>informed</b> .	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
The affected population was <b>consulted</b> .	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
 <b>Further information: Please elaborate the planned and implemented measures. If “no action” was chosen, please explain.</b>			


<b>46.</b>	Please state if the project is linked to: <i>Please select all that apply.</i>	
Economic resettlement <sup>5</sup>	<input type="checkbox"/>	
Physical resettlements	<input type="checkbox"/>	
Expropriation of land	<input type="checkbox"/>	
Impact on minorities or indigenous populations <sup>6</sup>	<input type="checkbox"/>	
No impact on the above aspects is expected.	<input type="checkbox"/>	
 <b>If applicable, please describe the above impacts (number of people/households affected, compensation measures, etc.).</b>		


<b>47.</b>	Does the project offer <b>residents</b> and <b>other stakeholder groups</b> means (e.g. telephone hotline, e-mail box, mailbox or ombudsmen) for submitting <b>complaints in connection with the project from the construction phase onwards</b> ?	
Yes	<input type="checkbox"/>	
No	<input type="checkbox"/>	
<b>e)</b>	Are anonymous complaints accepted?	
Yes	<input type="checkbox"/>	
No	<input type="checkbox"/>	

<sup>5</sup> Loss of assets or access to assets resulting in the loss of sources of income or other livelihoods.


<sup>6</sup> Indigenous peoples are considered to be distinct social and cultural groups which (a) regard themselves as members of such a distinct indigenous cultural group and are recognised as such by others, (b) show a collective attachment to their geographically distinct habitats or ancestral territories in the project area and to the natural resources thereof, (c) have customary cultural, economic, social or political institutions that are separate from those of the mainstream society or culture or (d) speak a distinct language or dialect, which often differs from the official language of the country or region.


f)	Is it ensured that complaints are answered and processed appropriately?	
	Yes	<input type="checkbox"/>
	No	<input type="checkbox"/>
g)	Please describe what means of complaint are available and how these are communicated.	
h)	Please describe how complaints are dealt with. Please also address time aspects, documentation and responsibilities.	

48.	Are you aware of any <b>objections/concerns from civil society</b> ? <i>Please select all that apply.</i>	
	Yes, from the population ( <i>please elaborate</i> )	<input type="checkbox"/>
	Yes, from non-governmental organizations (NGO) ( <i>please elaborate</i> )	<input type="checkbox"/>
	Other ( <i>please elaborate</i> )	<input type="checkbox"/>
	No, there are <b>no known objections</b> or concerns from civil society	<input type="checkbox"/>
 <b>If there are any objections or concerns, please elaborate and provide additional information</b> (e.g. background of the complaint, claim made, current status, handling of the complaint, planned measures, next steps).		

49.	Are <b>local residents</b> potentially exposed to <b>increased risk</b> from the project, e.g. due to air and noise emissions, water pollution or increased risk of explosion? <i>Please consider both construction and operational phase.</i>		
		<b>Construction phase</b>	<b>Operational phase</b>
	Yes ( <i>please elaborate below</i> )	<input type="checkbox"/>	<input type="checkbox"/>
	No	<input type="checkbox"/>	<input type="checkbox"/>
 <b>If yes, please describe the risk and which preventive and emergency measures are defined.</b>			

<b>50.</b> Please provide information on <b>private security services</b> used on site. <i>Please select all that apply and consider both the construction and operational phase.</i>		
	<b>Construction phase</b>	<b>Operational phase</b>
Security forces are armed.	<input type="checkbox"/>	<input type="checkbox"/>
Security forces are not armed.	<input type="checkbox"/>	<input type="checkbox"/>
The deployment of security forces is in accordance with the IFC <a href="#">Good Practice Handbook "Use of Security Forces: Assessing and Managing Risks and Impacts"</a> .	<input type="checkbox"/>	<input type="checkbox"/>
There is <b>no use</b> of any private security forces.	<input type="checkbox"/>	<input type="checkbox"/>
Comments (optional):		

<b>51.</b> Is the project expected to significantly <b>increase or change the volume of traffic</b> ? <i>Please consider both the construction and operational phase.</i>		
	<b>Construction phase</b>	<b>Operational phase</b>
Yes (please elaborate below)	<input type="checkbox"/>	<input type="checkbox"/>
No	<input type="checkbox"/>	<input type="checkbox"/>
 <b>If yes, what measures are taken to avoid endangering local residents/civilian facilities?</b>		

<b>52.</b> Is there a risk of negative impacts on <b>cultural heritage sites</b> in connection with the project? <i>Please consider both the construction and operational phase.</i>		
	<b>Construction phase</b>	<b>Operational phase</b>
Yes (please elaborate below)	<input type="checkbox"/>	<input type="checkbox"/>
No	<input type="checkbox"/>	<input type="checkbox"/>
 <b>If yes, what measures are taken to protect cultural heritage sites (e.g. consultation of competent professionals, implementation of Chance Find Procedure).</b>		

## I. Documents

Please attach the following documents, if available:

- Detailed site plan of the project site
- Organizational chart of environmental, social and health and safety management
- Code of Conduct for employees and suppliers
- Certificates relating to environmental, occupational safety and social standards, incl. certification audit reports